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à.	47	Application Number	10/679,726				
V (d	POWER OF ATTORNEY	Filing Date	October 6, 2003				
	AND	First Named Inventor	David Haase, et al				
	CORRESPONDENCE ADDRESS	Title	System and Method for Managing				
1	INDICATION FORM	Art Unit	2187				
ı		Examiner Name	Farrokh, Hashem				
Į		Attorney Docket Number	EMC-03-100CIP2				

I here	by revoke all previo	ous powers of attorney given in the	above-ident	ified applica	tion.		
I hereby appoint:							
$\boxtimes$	Practitioners associated with the Customer Number: 24227						
	OR		<u> </u>	<u> </u>	· -	J	
	Practitioner(s) name	ed below:					
		Name		Regist	ration Number	er	
as my/ Traden	our attorney(s) or agent(s nark Office connected th	s) to prosecute the application identified all erewith.	bove, and to tra	insact all busine	ess in the United	d States P	atent and
		e the correspondence address for t	he above-ide	entified annli	ication to:		
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	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor. Assignee of record	of the entire interest. See 37 CFR	Email			Zip	
I am t	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor. Assignee of record		Email			Zip	
	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor. Assignee of record	of the entire interest. See 37 CFR	Email 3.71. PTO/SB/96)	ee of Record		Zip	
Signar	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor Assignee of record Statement under 37	of the entire interest. See 37 CFR (CFR 3.73(b) is enclosed. (Form F) SIGNATURE of Application (Market 1997).	Email 3.71. PTO/SB/96) ant or Assign	Date	1-24-	υ· (	
Signar	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor Assignee of record Statement under 37	of the entire interest. See 37 CFR  CFR 3.73(b) is enclosed. (Form F  SIGNATURE of Application M. Gunther	Email 3.71. PTO/SB/96) ant or Assign	Date Telephone	508-293-72	υ· (	
Signat Name Title a	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor Assignee of record Statement under 37	of the entire interest. See 37 CFR (CFR 3.73(b) is enclosed. (Form F  SIGNATURE of Application M. Gunther the President and Assistant General	Email 3.71. PTO/SB/96) ant or Assignation	Date Telephone MC Corporat	508-293-72 ion	o (	
Signar Name Title a	Firm or Individual Name Address  City Country Telephone he: Applicant/Inventor Assignee of record Statement under 37	of the entire interest. See 37 CFR  CFR 3.73(b) is enclosed. (Form F  SIGNATURE of Application M. Gunther	Email 3.71. PTO/SB/96) ant or Assignation	Date Telephone MC Corporat	508-293-72 ion	o (	f more than one

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## STATEMENT UNDER 37 CFR 3.73(b)

App	licant	Patent Owner: EMC Corporation						
App	licatio	on No./Patent No. 10/679,726	Filed/Issue Date:	October 6, 2003				
Enti	Entitled: SYSTEM AND METHOD FOR MANAGING DATA ASSOCIATED WITH COPYING AND RECOVERY PROCEDURES IN A DATA STORAGE ENVIRONMENT							
		EMC Corporation	a Corporation					
(Nan	ne of A	ssignee)	a Corporation (Type of Assignee, e.g., corporation, partners)	ership, university, government agency. etc.)				
state	es that	it is:						
1.	$\boxtimes$	the assignee of the entire right, t	itle, and interest; or					
2.		an assignee of less than the entire (The extent (by percentage) of its or	•					
in th	e pate	ent application/patent identified above	e by virtue of either:					
A.		An Assignment from the inventor(s)	of the patent application/patent ident and Trademark Office at Reel 014962					
OR B.		A chain of title from the inventor(s) as follows:	, of the patent application/patent ident	ified above, to the current assignee				
	1.		To: the United States Patent and Tradema or for which a copy thereof is attached					
	2. From:  To:  The document was recorded in the United States Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.							
	3.		To: the United States Patent and Tradema or for which a copy thereof is attached					
		Additional documents in the chain of	f title are listed on a supplemental she	et.				
	As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to be recorded in the records of the USPTO. See MPEP 302.08]							
The	under	signed (whose title is supplied below	) is authorized to act on behalf of the a	assignee.				
	J-24-06							
	$\overline{}$	Signature		Date				
		John M. Gunthe	r	508-293-7255				
		Printed or Typed N	ame	Telephone Number				
		Vice President and Assistant G	eneral Counsel					
	Title							

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 09, 2004

PTAS



EMC CORPORATION
ROBERT KEVIN PERKINS
OFFICE OF THE GENERAL COUNSEL
176 SOUTH STREET
HOPKINTON, MA 01748

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/09/2004

REEL/FRAME: 014962/0875

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAASE, DAVID

DOC DATE: 01/30/2004

ASSIGNOR:

GULVE, SOMNATH

DOC DATE: 01/30/2004

ASSIGNOR:

HAYNES, MICHAEL D.

DOC DATE: 01/30/2004

ASSIGNOR:

DUPREY, DENNIS

DOC DATE: 01/30/2004

**ASSIGNEE:** 

EMC CORPORATION
176 SOUTH STREET
HOPKINTON, MASSACHUSETTS 01748

014962/0875 PAGE 2

SERIAL NUMBER: 10679726 FILING DATE: 10/06/2003

PATENT NUMBER: ISSUE DATE:

TITLE: SYSTEM AND METHOD FOR MANAGING DATA ASSOCIATED WITH COPYING AND

RECOVERY PROCEDURES IN A DATA STORAGE ENVIRONMENT

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS





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102667	7856 <u>~~~~~</u>				
To the Honorable Commissioner of Patents and Trademarks: Ple					
1. Name of conveying party(ies): 2.9.04	2. Name and address of receiving party(ies):				
David Haase, Somnath Gulve, Michael D. Haynes, Dennis Duprey	Name: EMC Corporation  Internal Address:				
Additional name(s) of conveying party(ies) attached? [ ] Yes [ X ] No 3. Nature of Conveyance:	Street Address: 176 South Street				
[X] Assignment [] Merger					
[ ] Security Agreement [ ] Change of Name	City: Hopkinton State: MA Zip: 01748				
Execution Date: January 30, 2004	Additional name(s) and address(es) attached? [ ] Yes [ X ] No				
4. Application number(s) or patent number(s):  If this document is being filed together with a new applic	ation, the execution date of the application is: 10/06/03				
A. Patent Application No.(s) 10/679,726	B. Patent No.(s)				
Additional numbers attach	ched? [ ] Yes [ X ] No				
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: [1]				
Name:	7. Total fee (37 CFR 3.41): \$40.00				
Internal Address: EMC Corporation	[ ] Enclosed				
Office of the General Counsel	[ X ] Authorized to be charged to deposit account				
Street Address: 176 South Street	8. Deposit account number: <u>05-0889</u>				
City: Hopkinton State: MA Zip: 01748	(Attach duplicate of this page if paying by deposit account)				
DO NOT USE THIS SPACE					
P. Signature.					
Robert Kevin Perkins (Reg. No. 36,634)  Jame of Person Signing  Total number of pages comprising cover sheet: [ ]					

02/11/2004 ECOOPER 00000220 050889 10679726

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## ASSIGNMENT

Dit

WHEREAS, we, <u>David Haase</u>, of Fuquay Varina, <u>North Carolina</u>, <u>Somnath Gulve</u>, of <u>Morrisville</u>, <u>North Carolina</u>, <u>Michael D. Haynes of Raleigh</u>, <u>North Carolina</u> and <u>Dennis Duprey</u>, of <u>Raleigh</u>, <u>North Carolina</u>, have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled <u>SYSTEM AND METHOD FOR MANAGING DATA ASSOCIATED WITH COPYING AND RECOVERY PROCEDURES IN A DATA STORAGE ENVIRONMENT</u>, the specification of which:

[]	is being executed on even date herewith and is about to be filed in the United States Patent Office;
[X]	was filed on October 6, 2003 as U.S. Application No. 10/679,726;
[]	was patented under U.S. Patent Noon

WHEREAS, EMC Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts and having a usual place of business at 176 South Street, Hopkinton, Massachusetts 01748 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its

successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals as set forth below:

Date: 1/30/04	Inventor's Signature
Print full name of first inventor:	David Haase
Residence:	904 Cedar Point Ct., Fuquay Varina, North Carolina 27526
Citizenship:	USA
Mailing Address:	Same as above
STATE/COMMONWEALTH OF NORTH	4 CAROLINA AM
Then personally appeared before me the above-n foregoing instrument as his/her free act and deed	named <u>David Haase</u> and acknowledged that he/she executed the this, 2004.
	Julie ( (Cottermenn)

Notary Public

Print Name: JULIE L. OSTERNEYER

My Commission expires 62/69/2668

PATENT/Joint Assignment EMC Docket No.: EMC-03-100CIP2

Date: 1   30   0 4-	
	Inventor's Signature
Print full name of second inventor:	Somnath Gulve
Residence:	2224 Glengate Circle, Morrisville, North Carolina 27560
Citizenship:	India
Mailing Address:	Same as above
STATE/COMMONWEALTH OF WORTH CARE COUNTY OF DURHAW  Then personally appeared before me the above-named of foregoing instrument as his/her free act and deed this	Somnath Gulve and acknowledged that he/she executed the
·	Notary Public  Print Name: JULIE L. USTERNICYER  My Commission expires <u>U2</u>   <u>09</u>   <u>2008</u>

Date: 1/30/04	Max 1- Hay
•	Inventor's Signature
Print full name of third inventor:	Michael D. Haynes
Residence:	8513 Wood Lawn Drive, Raleigh, North Carolina 27613
Citizenship:	USA
Mailing Address:	Same as above
STATE/COMMONWEALTH OF NURTH CAR COUNTY OF DURHAN	
Then personally appeared before me the above-named the foregoing instrument as his/her free act and deed the	Michael D. Haynes and acknowledged that he/she executed is 30 m day of January, 2004.
•	Notary Public  Print Name: TULIE L. OSTERMEYER  My Commission expires 0.21091 2008
	why commission expires $\frac{1}{2} = \frac{1}{2} = $

PATENT/Joint Assignment EMC Docket No.: EMC-03-100CIP2

Date: 1 30 0 4	
, ,	Inventor's Signature
Print full name of fourth inventor:	Dennis Duprey
Residence:	100 Tidbury Court, Raleigh, North Carolina 27615
Citizenship:	USA
Mailing Address:	Same as above
STATE/COMMONWEALTH OF NORTH CAM COUNTY OF DURHAM  Then personally appeared before me the above-named	Dennis Duprey and acknowledged that he/she executed the
foregoing instrument as his/her free act and deed this	30 ni day of JANUARY, 2004.
	Julie K. Ostermeyer
	37// 7.11
	Print Name: JULIE L. DSTERMEYER
	My Commission expires $22/29/2008$

			1	Application No.:	10/67	79,726	
TRANSMITTAL		Filing Date:	Octo	ber 6, 2003			
OIPE FORM		First Named Inventor:	David	d Haase, et al			
/	<b>40</b>		i	Confirmation No.	2876		
II j	AN 2 7 2006)		į	Group Art Unit	2187		
\ <u>B</u>			ı	Examiner:	Farre	okh, Hashem	
	C THEMEN OF			Customer No.	2422	27	
Tota	l Number of Pages in this Submission:			Docket No.	EMC	C-03-100CIP2	
	F	INCLO	SU	JRES (check all that apply	,)		
	Authorization for the Commissioner to charge Deposit Account No. 05-0889 for fees associated with this transaction (in duplicate)		F	Assignment Recordation Cover Sheet Declaration/Power of Attorney		Petition for Revival of an Unintentionally Abandoned Application [37 CRF 1.137(b)] (in duplicate)	
						Status Inquiry	
	Amendment/Response After Final Affidavits/Decl.	I	Co	wer of Attorney and orrespondence Address dication Form		Form PTOL-85B, Part B -Issue Fee Payment Transmittal," (in duplicate)	
	Extension of Time Request for Months	_		ntement Under 37 CFR (3(b)		Express Abandonment Request	
	Information Disclosure Statement Request for Continued Examination Transmittal	L	Lett witl	rmal Drawings tter to Official Draftsperson th () sheets of lined changes to drawings		Terminal Disclaimer  Customer Number Association	
	Response to Missing Parts/Incomplete Application		Cop	py of PTO 948, "Notice of aftsperson's Patent Review"	⊠	Certificate of First Class Mailing	
	Certified Copy of Priority Document(s)	☐ F	Pre	eliminary Amendment		Certificate of Express Mail Mailing	
	Fee Transmittal (in duplicate)		Ada	dditional Enclosures:		○ Postcard     ○	
	SIGNATUI	RE OF A	\PJ	PLICANT, ATTORNEY O	R AGI	ENT	
Date Tel: Fax:	Date Date Robert Kevin Perkins Esq. (Reg. No. 36,634)  EMC Corporation Office of the General Counsel  Tel: (508) 293-6985  176 South Street					36,634)	
	CERTIFICATI	E OF MA	AIJ	LING OR TRANSMISSION	N 137 (	EFR 1.81	
I here	eby certify that this correspondence and					/	
Date	Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703)  Signature  Signature						
Sandra A. Kulaga  Typed or printed name of person signing certificate						certificate	